

# Process Validation in the light of the revised Annex 15 and FDA Requirements

## SPEAKERS:



**Dr Christopher Burgess**  
*Chair of ECA's Analytical Quality Control Group, UK*



**Klaus Eichmüller**  
*EU Inspector, Germany*



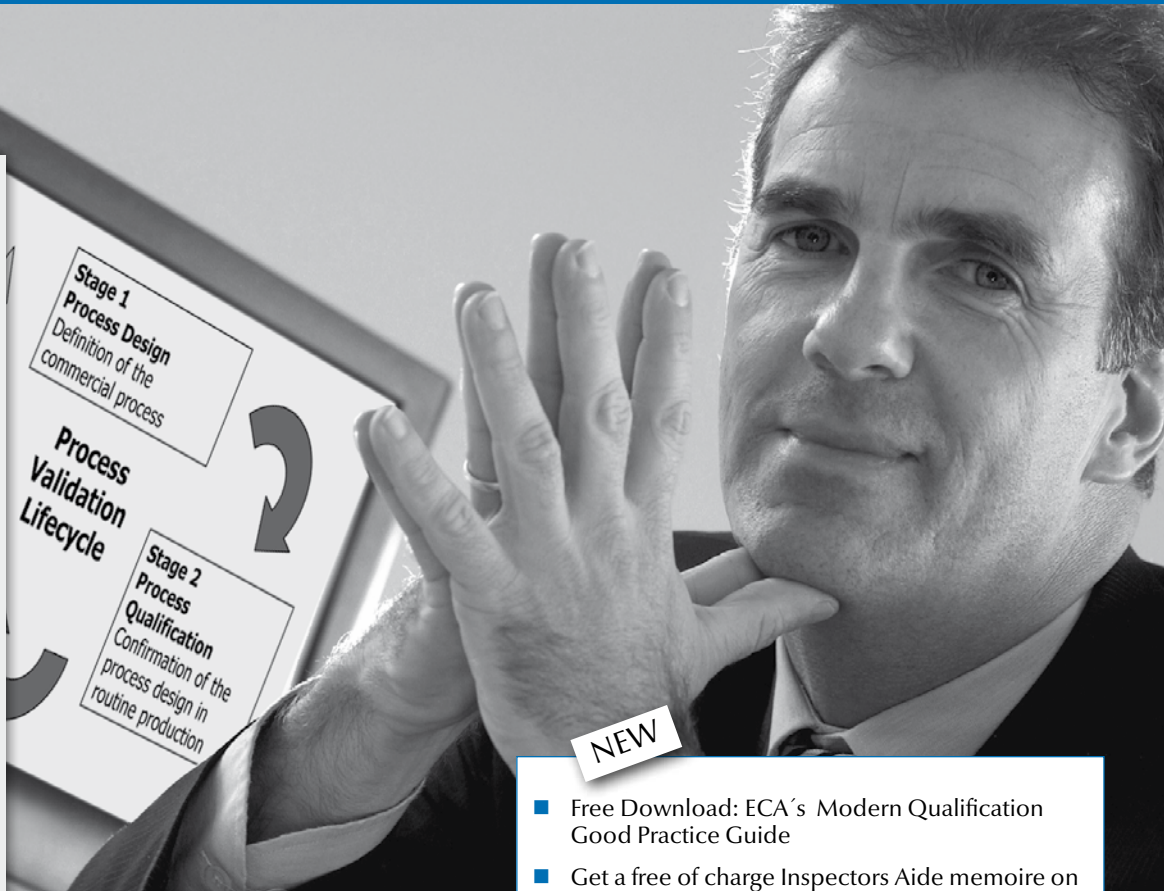
**Dr Line Lundsberg-Nielsen**  
*NNE, UK*



**Gert Moelgaard**  
*Past Chairman of ISPE, Head of ECA's Validation Group, Denmark*



**Dr Thomas Schneppe**  
*Bayer Bitterfeld GmbH, Germany*



- Free Download: ECA's Modern Qualification Good Practice Guide
- Get a free of charge Inspectors Aide memoire on Process Validation

FDA and EU:  
Assessment - Practical Aspects - Statistical Background

21-22 February 2019, Vienna, Austria  
16-17 October 2019, Berlin, Germany

## PROGRAMME:

- EU and FDA View
- Practical Aspects of DoE
- Process Validation Life Cycle - How to Implement
- Statistical Background



# Process Validation in the light of the revised Annex 15 and FDA Requirements

21-22 February 2019, Vienna, Austria | 16-17 October 2019, Berlin, Germany

## Objectives

---

With publication of the Guidance for Industry "Process Validation: General Principles and Practices" 2011, the FDA requires a new direction. Validation is now a „Life Cycle Process“ with 3 stages:

- Process Design
- Process Qualification
- Continued Process Verification

The focus is on process knowledge and process understanding. Both should be a result of development and verified in routine production. The "magic" 3 batches are not mentioned any more. What is very important nowadays is the term „scientific sound“, and explicit statistics are mentioned. Six Sigma elements (e.g. Design of Experiments, DoE) are also mentioned directly or indirectly. There will be a new stage in routine production called „continued process verification“.

**With the revision of Annex 15 EU GMP Guide the EU is going in the same direction: Validation is a lifecycle** with pharmaceutical development as basis and also a stage 3 is mentioned, called Ongoing Process Verification. In Europe 3 validation approaches are now possible – traditional, continuous and hybrid.

- How can the new requirements be achieved?
- How fit the FDA requirements into European guidelines and vice versa? , How can process knowledge and process understanding be demonstrated on the basis of development studies?
- When is a process valid now?
- Which parameters can be used for knowledge and understanding studies?
- How can „continued/ongoing process verification“ be realised?
- How can statistics help?

These questions are discussed, and the possibilities for implementation are covered.

## Background

---

Since 1987 the FDA Guideline on Process Validation has been the basis for qualification and validation. Within the new FDA programme "Pharmaceutical cGMPs for the 21st Century" there was an announcement for a revision of the guideline. A new FDA Policy Guide of 2004 gives some hints to the new validation approach. In November 2008 the new "Guidance for Industry Process Validation: General Principles and Practices" was published as a draft and came into operation in January 2011. That is now FDA's „current thinking“. Chapter 1 of the EU GMP Guide gives hints for more emphasises on process capabilities and varieties within process validation also in Europe. EMA's Process Validation Guidance and also the revised Annex 15 which came into force on 1 October 2015 take a life cycle approach to process validation.

## Target Group

---

The addressees of the event are qualified staff charged with or responsible for validation activities, such as commissioners for validation, heads of quality assurance, department heads, etc. It also addresses members of validation teams (e.g. engineers, chemists, pharmacists, microbiologists) as well as representatives of the plant engineering industry and consultants.

**Note: The number of participants is limited to 36 persons.**

## Moderator

---

**Dr. Christopher Burgess**

Burgess Analytical Consultancy, UK

## Programme

---

### FDA Thinking

- How the concept of Process Validation is about to change
- Ongoing changes in the Quality Management philosophy
- Real-life examples

### The current EU Approach on Process Validation

- Process validation in EU guidelines
- What has changed?
  - Revision of Chapter 1 EU GMP Guide
  - EMA's Guidance Process Validation
  - Annex 15 revision
- Excursion QbD
- Excursion Legacy Products
- The future of process validation

### Background and Environment of Process Validation – Industry view

- Process Validation in guidelines – history
- The FDA Process Validation Guidance –an overview
- European perspective
  - Annex 15 revision

### Case Study Process Validation

- Role of SOP in the company QM System
- How to deal with the established 3 batch approach?
- Key aspects (Preconditions, Stages 1-3, Review)
- Further deliverables from the data and link to other company SOPs

### Basics on Statistics

- An overview about statistical aspects
- What statistics do you need for modern Process Validation?

### Process Design

- Quality by Design and how it is an enabler for Process Design

## Systems and Tools for gaining Process Understanding and establishing the appropriate Control Strategy (I)

- Quality Risk Management
- Process Analytical Technology
- Design of Experiments (including a practical factorial design for establishing the design space or the operating ranges for the process)
- How the process design is reflected in the control strategy
- Applying control strategy for stage 2, process qualification and process validation

### Workshop DoE

The delegates examine a process flow diagram and generate an Ishikawa diagram to identify critical elements.

### Performance Qualification Approach

- Design & qualification of facility, utilities & equipment
- Performance qualification approach
- Performance qualification protocol
- Documenting the quality baseline

### PPQ Workshop

The delegates make a statistical evaluation of validation data (e.g. trend analysis, Cpk).

### Continued/Ongoing Process Verification

- Process mapping & critical process variables
- Process data collection and collation
- Trend analysis & Statistical Process Control
- Deviation management & CAPA
- Change management
- Management's role in Process Validation

### Continued/Ongoing Process Verification Process Verification Workshop

The delegates make a High Level Risk Assessment to analyze where they are going to focus in process verification.

## Speakers



**Dr Christopher Burgess**, *Burgess Analytical Consultancy, UK*

Dr Burgess is a Chartered Chemist and has more than 36 years experience in the pharmaceutical industry primarily with Glaxo in Quality Assurance and Analytical R&D. He is a "Qualified Person" and a member of the European QP Association advisory board. He has been appointed to the United States Pharmacopoeia's Council of Experts 2010 to 2015 and is a visiting professor of the University of Strathclyde's School of Pharmacy and Biomedical Sciences (SIPBS). In addition, he is the chairman of the ECA Analytical Quality Control Group and a member of the Executive committee of European Compliance Academy.



**Klaus Eichmüller**, *Wolnzach, c/o Regional Council Darmstadt, GMP Inspectorate, Germany*

After working in the pharmaceutical Industry Klaus Eichmüller joined the District Government of Upper Bavaria in Munich. Since 1996 he is working in the field of GMP Inspections of manufacturer of medicinal products and importers. He was Deputy Head of the Central Authority for Supervision of Medicinal Products in Bavaria" as long as it existed and is now Head of the Inspectorate for Drug Products, APIs, Blood Products and Tissues in Hessen since March 2014.



**Dr Line Lundsberg-Nielsen**, *NNE, U.K.*

Dr Line Lundsberg-Nielsen is a Global Technology Partner at NNE. She has many years of experience within the pharmaceutical Industry and has a theoretical as well as practical approach to QbD, PAT and RTRT from working at Novo Nordisk and Lundbeck before being a consultant. Dr Lundsberg is an active ISPE member and has had different chairing roles supporting QbD, PAT and PV implementation. She has practical experiences from interaction with the FDA and EMA on QbD, PAT and RTRT aspects.



**Gert Moelgaard**, *Moelgaard Consulting, Denmark*

Gert Moelgaard has more than 25 years experience in the pharmaceutical and biotech industry, including several years of experience in process control, automation, computer systems validation and process validation as well as process engineering and consulting. He has previously worked in Novo Nordisk, Novo Nordisk Engineering and NNE Pharmaplan. From 2009-2012 Gert Moelgaard was involved in training FDA's investigators at FDA's internal training on the 2011 Guidance on Process Validation and has contributed to several books and technical guidelines. Gert is the Head of ECA's Validation Group.



**Dr Thomas Schneppe**, *Bayer Bitterfeld GmbH, Germany*

Thomas has more than 30 years GMP experience in Pharmaceutical Industry: Qualified Person, Mgmt. Training, GMP Projects, Operational Excellence in different functions at Klöckner Pentapack, Schering AG, Asche AG, Bayer AG and actually Bayer Bitterfeld GmbH.



### Process Validation Aide Memoire (GMP Inspectors Guide)

The Guide is developed by the Central Authority of the Länder for Health Protection with regard to Medicinal Products and Medical Devices (ZLG) – English translation. This document covers the whole spectrum of process validation regarding the Annex 15 revision The Aide Memoire is really helpful as a tool to prepare for an Authority's GMP Inspection.

## Social Event

In the evening of the first course day, you are cordially invited to a social event. This is an excellent opportunity to share your experiences with colleagues from other companies in a relaxed atmosphere.



## Easy Registration



Reservation Form:  
**CONCEPT HEIDELBERG**  
P.O. Box 10 17 64  
69007 Heidelberg  
Germany



Reservation Form:  
+ 49 6221 84 44 34



e-mail:  
[info@concept-heidelberg.de](mailto:info@concept-heidelberg.de)



Internet:  
[www.gmp-compliance.org](http://www.gmp-compliance.org)

Reservation Form (Please complete in full)

### Process Validation in the light of the revised Annex 15 and FDA Requirements

- 21-22 February 2019, Vienna, Austria  
 16-17 October 2019, Berlin, Germany

- Mr.  Ms.

Title, first name, surname

Company

Department

Important: Please indicate your company's VAT ID Number

Purchase Order No, if applicable

Street/P.O. Box

City

Zip Code

Country

Phone/Fax

E-Mail (please fill in)

If the bill-to-address deviates from the specifications on the right, please fill out here:

CONCEPT HEIDELBERG  
P.O. Box 101764  
Fax +49 (0) 62 21/84 44 34

D-69007 Heidelberg  
GERMANY

#### General terms and conditions

- If you cannot attend the conference you have two options:  
1. We are happy to welcome a substitute colleague at any time.
  - If you have to cancel entirely we must charge the following processing fees:  
- until 2 weeks prior to the conference 10 %  
- until 1 week prior to the conference 50 %  
- within 1 week prior to the conference 100 %
- CONCEPT HEIDELBERG reserves the right to change the materials, in-

structors, or speakers without notice or to cancel an event. If the event must be cancelled, registrants will be notified as soon as possible and will receive a full refund of fees paid. CONCEPT HEIDELBERG will not be responsible for discount airfare penalties or other costs incurred due to a cancellation.  
**Terms of payment:** Payable without deductions within 10 days after receipt of invoice.  
**Important:** This is a binding registration and above fees are due in case of cancellation or non-appearance, if you cannot take part,

you have to inform us in writing. The cancellation fee will then be calculated according to the point of time at which we receive your message. In case you do not appear at the event without having informed us, you will have to pay the full registration fee, even if you have not made the payment yet. Only after we have received your payment, you are entitled to participate in the conference (receipt of payment will not be confirmed!) (As of January 2012)  
German law shall apply. Court of jurisdiction is Heidelberg.

**Privacy Policy:** By registering for this event, I accept the processing of my Personal Data. Concept Heidelberg will use my data for the processing of this order, for which I hereby declare to agree that my personal data is stored and processed. Concept Heidelberg will only send me information in relation with this order or similar ones. My personal data will not be disclosed to third parties (see also the privacy policy at [http://www.gmp-compliance.org/eca\\_privacy.html](http://www.gmp-compliance.org/eca_privacy.html)). I note that I can ask for the modification, correction or deletion of my data at any time via the contact form on this website.

### Date and Venue February 2019

Thursday, 21 February 2019, 09.30 – 18.00 h  
(Registration and coffee 09.00 – 09.30 h )  
Friday, 22 February 2019, 08.30 – 16.45 h

Radisson Blu Park Royal Palace Hotel Vienna  
Schlossallee 8  
1140 Vienna, Austria  
Phone +43 (1) 891 10 - 0  
[info.parkroyalpalace.vienna@radissonblu.com](mailto:info.parkroyalpalace.vienna@radissonblu.com)

### Date and Venue October 2019

Wednesday, 16 October 2019, 09.00 – 17.45 h  
(Registration and coffee 08.30 – 09.00 h )  
Thursday, 17 October 2019, 08.30 – 16.45 h

Steigenberger Hotel Berlin  
Los-Angeles-Platz 1  
10789 Berlin, Germany  
Phone +49 30 21 27 0  
[berlin@steigenberger.de](mailto:berlin@steigenberger.de)

### Fees (per delegate plus VAT)

ECA Members € 1,590  
APIC Members € 1,690  
Non-ECA Members € 1,790  
EU GMP Inspectorates € 895

The conference fee is payable in advance after receipt of invoice and includes conference documentation, dinner on the first day, lunch on both days and all refreshments. VAT is reclaimable.

### Accommodation

CONCEPT HEIDELBERG has reserved a limited number of rooms in the conference hotel. You will receive a room reservation form/POG when you have registered for the event. Reservation should be made directly with the hotel. Early reservation is recommended.

### Conference language

The official conference language will be English.

### Organisation and Contact

ECA has entrusted Concept Heidelberg with the organisation of this event.  
CONCEPT HEIDELBERG  
P.O. Box 10 17 64  
69007 Heidelberg, Germany  
Phone +49 (0) 62 21/84 44-0  
Fax +49 (0) 62 21/84 44 34  
[info@concept-heidelberg.de](mailto:info@concept-heidelberg.de)  
[www.concept-heidelberg.de](http://www.concept-heidelberg.de)

#### For questions regarding content please contact:

Mr Sven Pommeranz (Operations Director) at  
+49-62 21 / 84 44 47, or per e-mail at  
[pommeranz@concept-heidelberg.de](mailto:pommeranz@concept-heidelberg.de).

#### For questions regarding reservation, hotel, organisation etc. please contact:

Ms Susanne Ludwig (Organisation Manager)  
at +49-62 21 / 84 44 44, or per e-mail at  
[ludwig@concept-heidelberg.de](mailto:ludwig@concept-heidelberg.de).