Analytical Instrument Qualification

Live Online Training on 14 – 16 April 2021

Practical Approaches for USP General Chapter <1058> Compliance in the QC Laboratory

Highlights

- Regulatory Aspects of Analytical Instrument Qualification
- USP General Chapter <1058> - Analytical Instrument Qualification
- Risk Assessment in Analytical Laboratories
- Calibration Management
- Balances and Weighing Processes
- Practical Examples of Analytical Instrument Qualification and Calibration:
  - Spectroscopic Instruments and Detectors (UV/VIS, IR, NIR, NMR, etc.)
  - pH Measuring Instruments
  - HPLC / GC
  - RAMAN / NIR / FT-IR
  - Thermometers and Hygrometers
- Computer Validation in Analytical Laboratories
- Validation of Excel® Spreadsheets
- Data Integrity Challenges in Calibration and Qualification

Speakers

- Jörg Kastenschmidt
  Merck, Germany
- Philip Lienbacher
  Takeda, Austria
- Roland Miksche
  MiRo Consulting, Austria
Programme

Objective

Calibration and qualification of equipment are key requirements in GMP guidelines (EU GMP Guide, Annex 15 to EU GMP Guide, and FDA’s Code of Federal Regulations, 21 CFR Part 211). These requirements also apply to instruments and systems in analytical laboratories of the pharmaceutical industry. Besides calibration and qualification, the validation of computerised systems is another key issue. The software components associated with the instruments and systems must be shown to be fit for their intended purpose. Computer validation requirements and guidelines for the pharmaceutical industry are laid down, amongst others, by the EU (Annex 11 to EU GMP Guide, the PIC/S (Good Practices for Computerised Systems in Regulated “GXP” Environments”), GAMP® (Good Automated Manufacturing Practice), and FDA’s Part 11.

The United States Pharmacopoeia (USP) has adopted the General Chapter <1058>, Analytical Instrument Qualification, in 2008. This General Chapter <1058> has been updated in 2017.

The objective of this course is to provide the participants with an overview of the regulatory requirements on the qualification of analytical equipment and the software validation of computerised systems and to give practical advice on successful approaches to calibration, qualification, validation, and routine monitoring of instrumentation and systems. Key requirements of the important USP General Chapter <1058> will be presented and discussed.

The course will cover the following instruments and systems amongst others:

- UV/VIS Spectrophotometers, Disintegration and Dissolution
- Balances and Masses
- pH
- RAMAN / NIR / FT-IR
- HPLC and GC
- Chromatographic Data Systems
- Excel® - Spreadsheets

Practical examples and exercises will allow the participants to discuss key areas of interest.

Target Audience

This GMP Education Course will be of practical value to scientists and engineers in analytical laboratories and contract laboratories in an FDA-/GMP-regulated environment who are responsible for the calibration and qualification of their laboratory equipment and for the validation of the computerised systems used in their laboratories.

Programme

Regulatory Aspects of Analytical Instrument Qualification

- Overview about legislations including
  - Europe: EU GMP Guide - Annex 15
  - US: CFR, USP
  - National: German ZLG quality manual
- Other relevant documents (interpretation documents) and authority expectations
- Overview about Qualification steps
- Equipment life cycle

USP General Chapter <1058> - Analytical Instrument Qualification

- Key recommendations of this USP General Chapter
- Qualification steps: which activities should be performed in each phase?
- Roles and responsibilities for the user, Quality Assurance and for the manufacturer/vendor
- Software validation, Change Control & Documentation
- Instrument categories

General Aspects of Calibration

- Overview: regulatory aspects / requirements
- Definitions / terminology
- Concepts and documentation
- Handling OOC (Out of Calibration)

Risk Assessment in Analytical Laboratories

- Scaring examples
- Advantages of minimizing risk
- Definition and regulation (EU GMP Part 3 - Quality Risk Management, etc.).
- Approach, applicability, documentation, approvals
- FMEA (Failure Mode and Effect Analysis)
- HACCP (Hazard Analysis and Critical Control Points)
- ISHIKAWA DIAGRAM (Fishbone)
- FTA (Fault Tree Analysis)
- Risk assessment of changes

PRACTICAL EXAMPLES / EXERCISES I

Topic: Apparatus & Instruments List Case Study / Risk Categorisation According to USP <1058>
Calibration Management
- Parts of a calibration management system
  - Procedure(s)
  - Documentation
  - Calibration standards
  - Calibration management software
- Calibration interval adjustment
- OOC/OOT evaluation
- What can go wrong and how to avoid it

Data Integrity Challenges in Calibration and Qualification
- Relevant Guidelines
- Documentation & Data Management Systems in the Pharma/Device industry
- Achieving data integrity: Creating a culture of quality around document- and data management
- What can go wrong and how to avoid it!

Qualification of Specific Instruments and Systems
- Requirements according to USP
- Traceability of standards
- Practical approaches to qualification and calibration of
  - UV-Visible
  - Dissolution
  - Disintegration
  - Osmometer
  - Particulate Matter
  - Turbidity
  - Dishwasher

Qualification of GC Instruments
- Warning Letters (483) and Findings
- Technical Overview, Applications
- From Vendor to Decommissioning: AIQ-Lifecycle
- System Suitability Test
- Periodic Review (Checklist)

Balances and Weighing Processes
- Weighing basics
- Environmental influences on weighing
- Practical aspect on weighing
- Requirements acc. to USP <41> and <1251>
- Qualification and calibration of balances
- Weights (OIML R111.1)

Qualification of RAMAN / NIR / FT-IR
- Quick overview RAMAN / NIR / FT-IR & benefits
- Qualification: What are the specifics?
- Potential difficulties

Volumetric Apparatus (Pipets, Dispensers, etc.)
- Selection of suitable apparatuses
- Qualification / calibration
- Volumetric laboratory glassware

Assurance of Controlled Temperature and Humidity
- Thermometers and thermometry
- Hygrometers and hygrometry
- Qualification approaches to
  - Refrigerators and freezers
  - Climatic storage rooms and incubators
  - Ovens & muffle furnaces
  - Water baths

General Aspects of Computer Validation in Analytical Laboratories
- PIC/S Guidance Good Practices for Computerised Systems in Regulated “GXP” Environments
- New EU GMP Annex 11 Computerised Systems
- Requirements of 21 CFR Part 11
- Life cycle concept
- Integration of equipment qualification and computer validation
- Retrospective validation

HPLC / Chromatography Data Systems – Integrated Qualification and Validation
- Master Validation Plan (MVP)
- Assessments (Risk to Quality, 21 CFR Part 11)
- User Requirement Specification (URS)
- Function- and Design Specification (FS/DS)
- Risk Analysis (RA)
- Validation Protocol (VP)
- Test Cases (Deviations, Incidents, Changes)
- Final Report (FR)
- Standard Operation Procedures (SOP)
- Forms (User Access, Monitoring, Updates...)
- Service Contracts, Helpdesk, Logbook
Validation of Excel® Spreadsheets

- Areas of Usage
- Known Errors and Findings
- Categorisation according GAMP
- Lifecycle Phases and Documentation:
  - Requirements Phase
  - Definition, Build Phase
  - Testing Phase
  - Release
  - Changes, Decommissioning
- Literature (Regulations, Guidances)

PRACTICAL EXAMPLES / EXERCISES IV

Topic: Validation of Excel Spreadsheets
(Categorisation, responsibilities, required documents, contents of documents, testing, versioning, data handling)

Q&A sessions

Q&A sessions ensure interaction and that your questions are answered.

GMP Certification Programme
Certified Quality Control Manager

Building on your education the ECA GMP/GDP certification programmes provide you with the appropriate supplement to acquire this qualification. Simply choose any three courses within the programme according to your professional interest. Your certificate is then valid for two years. To renew it, you can pick any training from the ECA courses and conferences list within that two-years period – allowing you to broaden your knowledge in GMP and GDP compliance.
Speakers

Jörg Kastenschmidt
Merck, Darmstadt, Germany

Jörg Kastenschmidt is an engineer of chemical and bio-technology. He started his career in 2001 as project engineer at the PHAST GmbH in Homburg/Saar. After working in the GMP processes unit within the pharmaceutical analytical development at Merck for 10 years, he joined the development QA in 2016, where amongst other things he is responsible for qualification of analytical instruments, production equipment / facilities and validation of IT-systems.

Philip Lienbacher
Takeda, Vienna, Austria

Philip Lienbacher started his career within Takeda (previously Baxter/Baxalta/Shire) in 2008 in Vienna. Since then he held a variety of roles inside quality. In 2014, he accepted the position of Manager Global Material Lifecycle Management Systems and is responsible for a team of process experts and project managers. His responsibility includes the global ownership for Receiving & Inspection as well as the general testing- and method deployment-strategy in the company.

Roland Miksche
MiRo Consulting, Vienna, Austria

After more than 15 years driving CSV, data integrity and all global IT-projects within the Quality Assurance Department of Shire, he implemented EBM, an electronic batch management system, at Shire and afterwards, as Senior Consultant of HGP Pharma Consulting, at a customer in Germany. He made his final exam in biochemistry in Vienna, Austria, worked as analyst in accredited laboratories and as a sales and service expert for scientific equipment.

Your Benefits
Internationally Acknowledged Certificate from ECA Academy

The EU GMP Guide requires: “... All personnel should be aware of the principles of Good Manufacturing Practice that affect them and receive initial and continuing training....” This is why you receive an acknowledged participant certificate, which lists the contents of the seminar in detail and with which you document your training.
### General terms and conditions

If you cannot attend the conference you have two options:

1. We are happy to welcome a substitute colleague at any time.
2. If you have to cancel entirely we must charge the following processing fees:
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- In case you do not appear at the event without having informed us, you will have to pay the full registration fee, even if you have not made the payment yet. Only after we have received your payment, you are entitled to participate in the conference (receipt of payment will not be confirmed)!

(As of January 2012)

German law shall apply. Court of jurisdiction is Heidelberg.

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### Technical Requirements

For our webinars, we use Cisco WebEx, one of the leading suppliers of online meetings. At http://www.webex.com/test-meeting.html you can check if your system meets the necessary requirements for the participation at a WebEx meeting and at the same time install the necessary plug-in. Please just enter your name and email address for the test. If the installation is not possible because of your rights for the computer system, please contact your IT department. WebEx is a standard nowadays and the necessary installation is fast and easy.

### Fees (per delegate, plus VAT)

- Non-ECA Members: EUR 1,990.-
- ECA Members: EUR 1,790.-
- APIC Members: EUR 1,890.-
- EU GMP Inspectorates: EUR 995.-

The conference fee is payable in advance after receipt of invoice.

### Registration

Via the attached reservation form, by e-mail or by fax message. Or you register online at www.gmp-compliance.org.

### Presentations/Certificate

The presentations will be made available to you prior to the Live Online Training as PDF files. After the event, you will automatically receive your certificate of participation.

### Conference language

The official conference language will be English.

### Organisation and Contact

ECA has entrusted Concept Heidelberg with the organisation of this event.

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